

## LINKED PAIRS OF CONTRACTIBLE POLYHEDRA IN $S^n$

CRAIG R. GUILBAULT

(Communicated by James West)

**ABSTRACT.** B. Mazur has described a geometrically linked pair of compact contractible polyhedra in  $S^4$ . In this note we exhibit an even more extreme type of linking between compact contractible polyhedra in  $S^n$ ,  $n \geq 5$ .

### 1. INTRODUCTION

Disjoint compacta  $A_1, A_2 \subset S^n$  are *geometrically unlinked* if there is a PL embedding  $f: S^{n-1} \rightarrow S^n$  so that  $f(S^{n-1})$  separates  $S^n$  into components  $V_1$  and  $V_2$  with  $A_1 \subset V_1$  and  $A_2 \subset V_2$ . In this case,  $\bar{V}_1$  and  $\bar{V}_2$  are contractible polyhedra (see (2) from §2), so by taking interiors of sufficiently small regular neighborhoods of  $\bar{V}_1$  and  $\bar{V}_2$  we see that if  $A_1$  and  $A_2$  are geometrically unlinked they also satisfy

**Definition.** Disjoint compacta  $A_1, A_2 \subset S^n$  are *fundamentally unlinked* if there is a cover  $\{U_1, U_2\}$  of  $S^n$  by contractible open sets so that  $A_i \subset U_i$  for  $i = 1, 2$  and  $A_i \cap U_j = \emptyset$  when  $i \neq j$ .

If  $A_1$  and  $A_2$  are disjoint compact contractible polyhedra in  $S^n$  and  $n \leq 3$ , then they are geometrically unlinked. Indeed, if  $N(A_1)$  is a regular neighborhood of  $A_1$  disjoint from  $A_2$ , then  $\partial N(A_1)$  is a PL  $(n-1)$ -sphere separating  $A_1$  from  $A_2$ . In [Ma] Mazur made the surprising observation that, in  $S^4$ , a disjoint pair of compact contractible polyhedra may be geometrically linked. To do this, he constructed a compact contractible 4-manifold  $M$  (now known as a "Mazur manifold") which has nonsimply connected boundary and may be viewed as a regular neighborhood of a contractible 2-complex  $D$  contained in its interior. He then observes that the double,  $M_1 \cup_{\partial} M_2$ , of  $M$  is a PL 4-sphere and  $D_1$  and  $D_2$  are geometrically linked therein. Notice, however, that  $D_1$  and  $D_2$  are fundamentally unlinked.

A strategy similar to Mazur's may be used to produce pairs of geometrically linked, but fundamentally unlinked, compact contractible polyhedra in  $S^n$  for all  $n \geq 4$ . In this note we show that for  $n \geq 5$  there exist fundamentally linked pairs of compact contractible polyhedra in  $S^n$ .

---

Received by the editors November 6, 1992.

1991 *Mathematics Subject Classification.* Primary 57N15, 57Q99.

© 1994 American Mathematical Society  
0002-9939/94 \$1.00 + \$.25 per page

## 2. PRELIMINARIES

Throughout this paper we work in the PL category; all complexes are simplicial, manifolds are combinatorial, and maps are piecewise linear. All homology is with  $\mathbb{Z}$ -coefficients.

A group  $G$  is *perfect* if its abelianization,  $G/[G, G]$ , is the trivial group. A space  $X$  is *acyclic* if  $\tilde{H}_k(X) = 0$  for all  $k$ . A compact acyclic  $n$ -manifold is called a *homology  $n$ -cell*. An  $n$ -manifold with homology groups isomorphic to those of  $S^n$  is called a *homology  $n$ -sphere*.

The following facts are well known. They follow from standard results of algebraic topology including the VanKampen, Mayer-Vietoris, and Universal Coefficient theorems, as well as duality, the Hurewicz Theorem, and a theorem of Whitehead. We list them here for easy reference.

- (1) The boundary of a homology  $n$ -cell is a homology  $(n - 1)$ -sphere.
- (2) If  $\Sigma^{n-1} \subset S^n$  is a homology  $(n - 1)$ -sphere and  $V_1$  and  $V_2$  are the components of  $S^n - \Sigma^{n-1}$ , then  $V_1$  and  $V_2$  are acyclic. If  $\Sigma^{n-1}$  is simply connected, then  $V_1$  and  $V_2$  are simply connected and thus contractible. If  $\Sigma^{n-1}$  is locally flat, then  $V_1$  and  $V_2$  are homology  $n$ -cells.
- (3) The union of two homology  $n$ -cells among a common boundary is a homology  $n$ -sphere.

## 3. MAIN RESULT

**Theorem 3.1.** *For any  $n \geq 5$ , there exists a fundamentally linked pair of compact contractible polyhedra in  $S^n$ .*

We will need the following lemmas. Both are tailored to the proof of Theorem 3.1 and could be stated in greater generality if so desired.

**Lemma 3.2.** *Let  $K$  be a finite acyclic 2-complex with fundamental group  $G$ . Then, for any  $n \geq 5$ , there exists a homology  $n$ -sphere  $\Sigma^n$  with  $\pi_1(\Sigma^n) \cong G \times G$ .*

*Proof.* For  $n \geq 8$ , we may embed  $K \times K$  in  $\mathbb{R}^{n+1}$ . A regular neighborhood  $N$  of this embedding is a homology  $(n + 1)$ -cell, so, by (1),  $\partial N$  is a homology  $n$ -sphere; moreover, by general position,  $\pi_1(\partial N) \cong \pi_1(N) \cong G \times G$ . Now, since  $G \times G$  is the fundamental group of some high-dimensional homology sphere, the proof of Theorem 1 in [Ke], together with the remarks that precede it, show implicitly that there is an acyclic 3-complex,  $L$ , with  $\pi_1(L) \cong G \times G$ . Hence, for  $n \geq 6$ , we may use the same strategy as above. Finally, for  $n = 5$ , apply [St] to obtain a 3-complex  $L' \subset \mathbb{R}^6$  which is simple homotopy equivalent to  $L$ , and let  $\Sigma^n$  be the boundary of a regular neighborhood of  $L'$ .  $\square$

*Remark.* Nonsimply connected, acyclic 2-complexes are plentiful. For example, removing the interior of a 3-ball from a nonsimply connected homology 3-sphere produces a homology 3-cell with the same fundamental group. This homology cell may then be collapsed onto a 2-dimensional subcomplex.

**Lemma 3.3.** *Let  $K$  be a finite complex with perfect fundamental group  $G$ . If  $K$  may be written as  $U \cup V$ , where  $U$  and  $V$  are open (not necessarily connected) subsets of  $K$ , such that loops lying completely within either  $U$  or  $V$  contract in  $K$ , then  $K$  is simply connected.*

*Proof.* By [Wr, Lemma 7.2],  $G$  must be a free group, but the only perfect free group is trivial.  $\square$

*Proof of Theorem 3.1.* Let  $K$  be an acyclic 2-complex with nontrivial fundamental group  $G$ . By Lemma 3.2, we may choose a homology  $n$ -sphere,  $\Sigma^n$  with  $\pi_1(\Sigma^n, q) \cong G \times G$ . Let  $G_1, G_2, G_3 < \pi_1(\Sigma^n, q)$  correspond to  $G \times \{1\}, \{1\} \times G, \Delta_G = \{(g, g) | g \in G\} < G \times G$ , respectively. Choose PL embeddings  $e_i: (K, p) \rightarrow (\Sigma^n, q)$  for  $i = 1, 2, 3$  so that  $\text{image}((e_i)_\#: \pi_1(K, p) \rightarrow \pi_1(\Sigma^n, q)) = G_i$ , for each  $i$ . By general position, we may homotope  $e_1$  and  $e_2$  to embeddings  $e'_1$  and  $e'_2$  so that  $e'_1$  and  $e'_2$ , and  $e_3$  have pairwise disjoint images which we will denote by  $K_1, K_2$ , and  $K_3$ . Choose regular neighborhoods  $N_1$  and  $N_2$  of  $K_1$  and  $K_2$  so that  $N_1, N_2$ , and  $K_3$  are pairwise disjoint. Let  $W = \Sigma^n - \text{int}(N_1 \cup N_2)$ , and choose embedded arcs  $\alpha_1$  and  $\alpha_2$  in  $W$  from  $q$  to points  $q_1 \in \partial N_1$  and  $q_2 \in \partial N_2$ , respectively. Since  $G_1$  is a normal subgroup of  $\pi_1(\Sigma^n, q)$  (thus, invariant under conjugation),  $\text{image}(\pi_1(N_i \cup \alpha_i)) = G_i$  for  $i = 1, 2$ . Furthermore, since  $K_i$  has codimension  $\geq 3$ , the inclusions  $\Sigma^n - (K_1 \cup K_2) \subset \Sigma^n$  and  $N_i - K_i \subset N_i$  ( $i = 1, 2$ ) induce  $\pi_1$ -isomorphisms. Utilizing the collar structures on  $N_i - K_i$ , we may conclude that  $W \subset \Sigma^n$  and  $\partial N_i \subset N_i$  induce  $\pi_1$ -isomorphisms. By a slight abuse of notation, we write  $\pi_1(W, q) = G_1 \times G_2$  with  $\text{image}(\pi_1(\partial N_i \cup \alpha_i, q) \rightarrow \pi_1(W, q)) = G_i, i = 1, 2$ .

By (1) of §2,  $\partial N_1$  and  $\partial N_2$  are homology  $(n-1)$ -spheres; so, by [Ke, p. 71], there exist (combinatorial) compact contractible manifolds  $C_1$  and  $C_2$  with  $\partial C_i \approx \partial N_i$  for each  $i$ . If  $W \cup_\partial C_i$  denotes the space obtained by gluing  $\partial C_i$  to  $W$  along  $\partial N_i$ , VanKampen's theorem gives an isomorphism  $\pi_1(W \cup_\partial C_i, q) \rightarrow (G_1 \times G_2)/G_i$ , for  $i = 1, 2$ . Furthermore, since the composition  $G_3 \rightarrow G_1 \times G_2 \rightarrow (G_1 \times G_2)/G_i$  is an isomorphism for  $i = 1, 2$ , we have inclusion induced isomorphisms,  $\pi_1(K_3) \rightarrow \pi_1(W \cup_\partial C_i)$ .

Reasoning as above,

$$\pi_1(W \cup_\partial (C_1 \cup C_2), q) \cong (G_1 \times G_2)/\langle G_1 \cup G_2 \rangle = \{1\}.$$

Furthermore, by two applications of (3),  $W \cup_\partial (C_1 \cup C_2)$  is a homology sphere. Hence, by the PL Generalized Poincaré Conjecture [Sm],  $W \cup_\partial (C_1 \cup C_2) \approx S^n$ .

*Claim.*  $C_1$  and  $C_2$  are fundamentally linked in  $W \cup_\partial (C_1 \cup C_2) \approx S^n$ .

Suppose there is an open cover  $\{U_1, U_2\}$  of  $W \cup_\partial (C_1 \cup C_2)$  by contractible sets with  $C_i \subset U_i$  for  $i = 1, 2$  and  $C_i \cap U_j = \emptyset$  when  $i \neq j$ . Then  $\{U_1 \cap K_3, U_2 \cap K_3\}$  is an open cover of  $K_3$ . By Lemma 3.3, we may assume without loss of generality that  $U_1 \cap K_3$  contains a loop  $\lambda$  which is nontrivial in  $K_3$ . Now,  $U_1$  is contractible, so  $\lambda$  contracts in  $U_1 \subset W \cup_\partial C_1$ . But, since  $K_3 \subset W \cup_\partial C_1$  induces a  $\pi_1$ -isomorphism, this is impossible.  $\square$

*Remark.* In the above construction, the contractibility of  $U_i$  was only used to assert that a loop  $\lambda \subset U_i$  contracts in  $U_i$ . Hence, we have actually shown that  $S^n$  cannot be covered by simply connected open sets  $U_1$  and  $U_2$  containing  $C_1$  and  $C_2$ , respectively, and with  $U_i \cap C_j = \emptyset$  for  $i \neq j$ .

**Question.** Does there exist a pair of fundamentally linked compact contractible polyhedra in  $S^4$ ?

## REFERENCES

- [Ke] M. A. Kervaire, *Smooth homology spheres and their fundamental groups*, Trans. Amer. Math. Soc. **144** (1969), 67–72.  
 [Ma] B. Mazur, *A note on some contractible 4-manifolds*, Ann. of Math. (2) **73** (1961), 221–228.

- [Sm] S. Smale, *Generalized Poincaré conjecture in dimensions greater than four*, *Ann. of Math. (2)* **74** (1961), 391–406.
- [St] J. R. Stallings, *The embedding of homotopy types into manifolds*, Mimeographed notes, Princeton Univ., 1965.
- [Wr] D. G. Wright, *Contractible open manifolds which are not covering spaces*, *Topology* **3** (1992), 281–291.

DEPARTMENT OF MATHEMATICAL SCIENCES, UNIVERSITY OF WISCONSIN-MILWAUKEE, MILWAUKEE, WISCONSIN 53201

*E-mail address:* `craig@csg4.csd.uwm.edu`