

A TOPOLOGICAL PROOF OF  
THE PRIMITIVE ELEMENT THEOREM,  
THE OMEGATOR OF A FREE GROUP

A subset  $B$  of a free group  $F$  is a basis for  $F$  if for every group  $G$  and every function  $\beta: B \rightarrow G$ , there is a unique homomorphism  $\varphi: F \rightarrow G$   $\varphi|_B = \beta$ .

An element  $x$  of a free group  $F$  is a primitive element of  $F$  if there is a basis for  $F$  which contains  $x$ .

Using covering space techniques, we prove:

The Primitive Element Theorem: If  $F_0 \supset F_1 \supset F_2 \supset \dots$  is a decreasing sequence of free groups  $\varnothing$  no primitive element of  $F_{i-1}$  belongs to  $F_i$  for  $i=1,2,3,\dots$ , then  $\bigcap_{i=0}^{\infty} F_i = \{1\}$ .

The commutator subgroup of a group  $G$  is the subgroup of  $G$  generated by  $\{xyx^{-1}y^{-1} : x,y \in G\}$ . If  $G_0 \supset G_1 \supset G_2 \supset \dots$  is the sequence of subgroups of  $G$  defined by  $G_0 = G$  and  $G_i$  is the commutator subgroup of  $G_{i-1}$  for  $i=1,2,3,\dots$ , then  $\omega(G) = \bigcap_{i=0}^{\infty} G_i$  is the omegator subgroup of  $G$ .

The mod 2 commutator subgroup of a group  $G$  is the subgroup of  $G$  generated by  $\{x^2 : x \in G\}$ . If  $G_0 \supset G_1 \supset G_2 \supset \dots$  is the sequence of subgroups of  $G$  defined by  $G_0 = G$  and  $G_i$  is the mod 2 commutator subgroup of  $G_{i-1}$  for  $i=1,2,3,\dots$ , then  $\omega_2(G) = \bigcap_{i=0}^{\infty} G_i$  is the mod 2 omegator subgroup of  $G$ .

We shall obtain a general corollary to the Primitive Element Theorem which contains the result that if  $F$  is a free group, then  $\omega(F) = \{1\}$  and  $\omega_2(F) = \{1\}$ .

The following fact is central to our proof of the Primitive Element Theorem.

A Covering Space Lemma: Suppose

(a) for  $i=0,1,2,\dots,\omega$ ,  $X_i$  is a path connected, locally path connected regular space and  $b_i \in X_i$ ;

(b) for  $0 \leq j < i \leq \omega$ ,  $p_{ij}: (X_i, b_i) \rightarrow (X_j, b_j)$  is a covering projection such that for  $0 \leq k < j < i \leq \omega$ ,  $p_{ik} = p_{jk} \circ p_{ij}$

(hence for  $0 \leq k < j < i \leq \omega$ ,  $p_{ik} \times \pi_1(X_i, b_i) \subset p_{jk} \times \pi_1(X_j, b_j) \subset \pi_1(X_k, b_k)$ );

(c)  $p_{\omega 0} \times \pi_1(X_\omega, b_\omega) = \bigcap_{0 \leq i < \omega} p_{i0} \times \pi_1(X_i, b_i)$   $\square$ .

If  $K$  is a compact subset of  $X_\omega$ , then  $\exists n$  such that  $n < \omega$  and for  $i \geq n$ ,  $p_{wi} | K: K \rightarrow X_i$  is one-to-one.

Proof: Let  $K$  be a compact subset of  $X_\omega$ .

For a path connected open subset  $U$  of  $X_0$ , let  $Q(U)$  denote the collection of path components of  $p_{\omega 0}^{-1}(U)$ . Since  $X_\omega$  is locally path connected, the elements of  $Q(U)$  are path connected open subsets of  $X_\omega$ . A path connected open subset  $U$  of  $X_0$  is evenly covered by  $p_{\omega 0}$  if  $\forall W \in Q(U)$ ,  $p_{\omega 0} | W: W \rightarrow U$  is a homeomorphism.

Claim (1): If  $U$  is a path connected open subset of  $X_0$  which is evenly covered by  $p_{w_0}$ ,  $V$  is a path connected open subset of  $X_0$  and  $\overline{V} \subset U$ , then  $V$  is evenly covered by  $p_{w_0}$  and the number of elements of  $Q(V)$  which intersect  $K$  is finite.

For every  $W \in Q(U)$ , let  $W_* = (p_{w_0}|_W)^{-1}(V)$ . Then  $Q(V) = \{W_* : W \in Q(U)\}$  and for every  $W \in Q(U)$ ,  $p_{w_0}|_{W_*} : W_* \rightarrow V$  is a homeomorphism. This shows  $V$  is evenly covered by  $p_{w_0}$ .

Furthermore, for every  $W \in Q(U)$ ,  $\overline{W_*} = (p_{w_0}|_W)^{-1}(\overline{V}) \subset W$ , and  $p_{w_0}^{-1}(\overline{V}) = \bigcup \{\overline{W_*} : W \in Q(U)\}$ . Since  $p_{w_0}^{-1}(\overline{V})$  is a closed subset of  $X_w$ , then  $K \cap p_{w_0}^{-1}(\overline{V})$  is compact.  $\{W \in Q(U) : \overline{W_*} \cap K \neq \emptyset\}$  is an open cover of  $K \cap p_{w_0}^{-1}(\overline{V})$ , no proper subset of which covers  $K \cap p_{w_0}^{-1}(\overline{V})$ . Hence  $\{W \in Q(U) : \overline{W_*} \cap K \neq \emptyset\}$  must be finite. Therefore  $\{W_* \in Q(V) : W_* \cap K \neq \emptyset\}$  must be finite; so only finitely many elements of  $Q(V)$  intersect  $K$ . ■

Claim (2): There is a collection  $\mathcal{V}$  of path connected open subsets of  $X_0$  which covers  $X_0$ ;  $\forall V \in \mathcal{V}$ ,  $V$  is evenly covered by  $p_{w_0}$  and the number of elements of  $Q(V)$  which intersect  $K$  is finite.

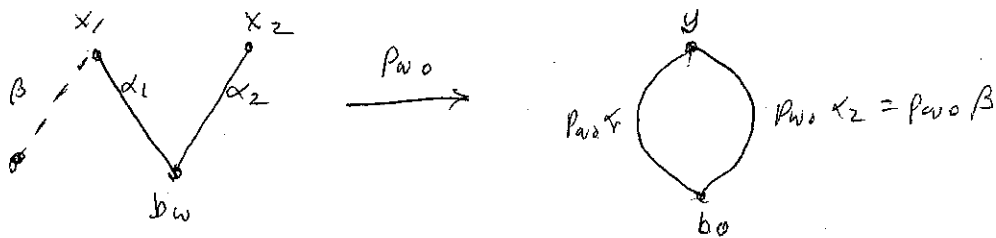
(a) and (b) imply that every point  $x$  of  $X_0$  has a path connected open nbhd  $U$  which is evenly covered by  $p_{w_0}$ . Since  $X_0$  is locally path connected and regular, then there is a path connected open nbhd  $V$  of  $x$   $\exists \overline{V} \subset U$ . Then by Claim (1),  $V$  is evenly covered by  $p_{w_0}$  and the number of elements of  $Q(V)$  which intersect  $K$  is finite. ■

Claim (3): If  $V$  is a path connected open subsets of  $X_0$  which is evenly covered by  $p_{w_0}, W_1, W_2 \in \mathcal{Q}(V)$  and  $W_1 \neq W_2$ , then  $\exists n \ni n < \omega$  and for  $i \geq n, p_{w_i}(W_1) \cap p_{w_i}(W_2) = \emptyset$ .

Let  $y \in V, x_1 \in W_1 \cap p_{w_0}^{-1}(y)$  and  $x_2 \in W_2 \cap p_{w_0}^{-1}(y)$ . We shall prove  $\exists n \ni n < \omega$  and for  $i \geq n, p_{w_i}(x_1) \neq p_{w_i}(x_2)$ . It will then follow that for  $i \geq n, p_{w_i}(W_1) \cap p_{w_i}(W_2) = \emptyset$ .

For  $i=1,2$ , let  $\alpha_i: (I, 0, 1) \rightarrow (X_w, b_w, x_i)$  be a path.

Let  $\beta: (I, 1) \rightarrow (X_w, x_1)$  be a path  $\ni p_{w_0} \beta = p_{w_0} \alpha_2$ .



Then  $\beta(0) \neq b_w$  (for  $\beta(0) = b_w \Rightarrow \beta = \alpha_2 \Rightarrow x_1 = \beta(1) = \alpha_2(1) = x_2$ ).

Since  $p_{w_0}(\alpha_1 \cdot \beta^{-1})$  is a loop in  $X_0$  at  $b_0$ , then

$[p_{w_0}(\alpha_1 \cdot \beta^{-1})] \in \pi_1(X_0, b_0)$ . Since the lift  $\alpha_1 \cdot \beta^{-1}$  of  $p_{w_0}(\alpha_1 \cdot \beta^{-1})$  to a path in  $X_w$  starting at  $b_w$  is not a loop, then

$[p_{w_0}(\alpha_1 \cdot \beta^{-1})] \notin p_{w_0*} \pi_1(X_w, b_w)$ . Then (b) and (c) imply

$\exists n \ni n < \omega$  and for  $i \geq n, [p_{w_0}(\alpha_1 \cdot \beta^{-1})] \notin p_{i_0*} \pi_1(X_i, b_i)$ .

Hence for  $i \geq n$ , the lift  $p_{w_i}(\alpha_1 \cdot \beta^{-1})$  of  $p_{w_0}(\alpha_1 \cdot \beta^{-1})$  to a path in  $X_i$  starting at  $b_i$  is not a loop. It follows

that  $p_{w_i}(x_1) \neq p_{w_i}(x_2)$ ; for  $p_{w_i}(x_1) = p_{w_i}(x_2) \Rightarrow p_{w_i} \beta = p_{w_i} \alpha_2$

(because  $p_{w_i} \beta$  and  $p_{w_i} \alpha_2$  are both lifts of  $p_{w_0} \beta = p_{w_0} \alpha_2$  and

$p_{w_i} \beta(1) = p_{w_i}(x_1) = p_{w_i}(x_2) = p_{w_i} \alpha_2(1) \Rightarrow p_{w_i}(\alpha_1 \cdot \beta^{-1}) = (p_{w_i} \alpha_1) \cdot (p_{w_i} \alpha_2)^{-1}$ )

$\Rightarrow p_{w_i}(\alpha_1 \cdot \beta^{-1})$  is a loop - a contradiction.

Now let  $i \neq n$  and suppose  $p_{wi}(W_1) \cap p_{wi}(W_2) \neq \emptyset$ .  
 Let  $\bar{x}_1 \in W_1$  and  $\bar{x}_2 \in W_2 \ni p_{wi}(\bar{x}_1) = p_{wi}(\bar{x}_2)$ . Then  
 $p_{w0}(\bar{x}_1) = p_{w0}(\bar{x}_2)$ , because  $p_{w0} = p_{w0} \circ p_{wi}$ . Let  $\bar{y} = p_{w0}(\bar{x}_1) = p_{w0}(\bar{x}_2)$ .  
 Let  $\gamma_1 : (I, 0, 1) \rightarrow (W_1, x_1, \bar{x}_1)$  be a path. Then  
 $p_{w0} \circ \gamma_1 : (I, 0, 1) \rightarrow (V, y, \bar{y})$  is a path. Since  
 $p_{w0}|_{W_2} : (W_2, x_2, \bar{x}_2) \rightarrow (V, y, \bar{y})$  is a homeomorphism, then  
 $\gamma_2 = (p_{w0}|_{W_2})^{-1} \circ p_{w0} \circ \gamma_1$  defines a path  
 $\gamma_2 : (I, 0, 1) \rightarrow (W_2, x_2, \bar{x}_2) \ni p_{w0} \gamma_1 = p_{w0} \gamma_2$ .  
 Since  $p_{wi} \gamma_1$  and  $p_{wi} \gamma_2$  are both lifts of  $p_{w0} \gamma_1 = p_{w0} \gamma_2$   
 and  $p_{wi} \gamma_1(1) = p_{wi}(\bar{x}_1) = p_{wi}(\bar{x}_2) = p_{wi} \gamma_2(1)$ ,  
 then  $p_{wi} \gamma_1 = p_{wi} \gamma_2$ . Consequently  $p_{wi}(x_1) = p_{wi} \gamma_1(0)$   
 $= p_{wi} \gamma_2(0) = p_{wi}(x_2)$ , a contradiction. This proves  
 $p_{wi}(W_1) \cap p_{wi}(W_2) = \emptyset$ .  $\blacksquare$

Claim (2) guarantees the existence of a collection  $\mathcal{U}$   
 of path connected open subsets of  $X_0$  which covers  $X_0 \ni$   
 $\forall V \in \mathcal{U}$ ,  $V$  is evenly covered by  $p_{w0}$  and the number of  
 elements of  $Q(V)$  which intersects  $K$  is finite. Since  
 $p_{w0}(K)$  is compact, there is a finite subset  $\{V_1, V_2, \dots, V_{q_j}\}$  of  $\mathcal{U}$   
 which covers  $p_{w0}(K)$ . For  $j=1, 2, \dots, q_j$ , there is a finite  
 number  $r_j$  of elements of  $Q(V_j)$  which intersect  $K$ ;  
 say  $\{W \in Q(V_j) : W \cap K \neq \emptyset\} = \{W_{j1}, W_{j2}, \dots, W_{jr_j}\}$  where for  $1 \leq k < l \leq r_j$ ,  $W_{jk} \neq W_{jl}$ .

Now for  $j=1,2,\dots,q$  and  $1 \leq k < l \leq r_j$ , claim (3) guarantees the existence of an  $n(j,k,l) < \omega$  such that for  $i \geq n(j,k,l)$ ,

$$p_{wi}(W_{jk}) \cap p_{wi}(W_{jl}) = \emptyset$$

Let  $n = \max \{ n(j,k,l) : j=1,2,\dots,q \text{ and } 1 \leq k < l \leq r_j \}$ .

Then  $n < \omega$ .

Claim (4): For  $i \geq n$ ,  $p_{wi}|_K : K \rightarrow X_i$  is one-to-one.

Suppose  $i \geq n$ ,  $x_1, x_2 \in K$  and  $p_{wi}(x_1) = p_{wi}(x_2)$ .

We shall prove  $x_1 = x_2$ .  $p_{wo}(x_1) = p_{wo}(x_2)$  because  $p_{wo} = p_{io} \circ p_{wi}$ .

Since  $p_{wo}(x_1) = p_{wo}(x_2) \in p_{wo}(K)$ , then  $\exists j \in \{1,2,\dots,q\}$  such

$p_{wo}(x_1) = p_{wo}(x_2) \in V_j$ . Hence  $\exists k, l \in \{1,2,\dots,r_j\}$  such

$x_1 \in W_{jk}$  and  $x_2 \in W_{jl}$ . Assume  $k \neq l$ . If  $k < l$ , then the

fact that  $i \geq n \geq n(j,k,l)$  implies  $p_{wi}(W_{jk}) \cap p_{wi}(W_{jl}) = \emptyset$ ,

which is impossible if  $p_{wi}(x_1) = p_{wi}(x_2)$ . So  $k = l$ . Then

the fact that  $p_{wo}|_{W_{jk}}$  is a homeomorphism implies  $x_1 = x_2$ .  $\square$

This proves the Covering Space Lemma.

We now give the proof of

The Primitive Element Theorem: If  $F_0 \supset F_1 \supset F_2 \supset \dots$  is a decreasing sequence of free groups  $\exists$  no primitive element of  $F_i$  belongs to  $F_j$  for  $i < j$ , then  $\bigcap_{i=0}^{\infty} F_i = \{1\}$ .

Proof: Let  $F_{\omega} = \bigcap_{i=0}^{\infty} F_i$ .  $\exists$  a graph  $\Gamma_0$  with  $b_0 \in \Gamma_0$   $\exists$   $\pi_1(\Gamma_0, b_0) = F_0$ . Furthermore for  $0 < i \leq \omega$ ,  $\exists$  covering spaces  $p_{i0}: (\Gamma_i, b_i) \rightarrow (\Gamma_0, b_0) \ni p_{i0} \circ \pi_i(\Gamma_i, b_i) = F_i$ . For  $0 < j < i \leq \omega$ , since  $F_i \subset F_j$ , then  $\exists$  a covering projection  $p_{ij}: (\Gamma_i, b_i) \rightarrow (\Gamma_j, b_j) \ni$

the diagram

$$\begin{array}{ccc} (\Gamma_i, b_i) & \xrightarrow{p_{ij}} & (\Gamma_j, b_j) \\ p_{i0} \downarrow & & \downarrow p_{j0} \\ (\Gamma_0, b_0) & & \end{array}$$

commutes. The

unique lifting theorem implies that if  $0 \leq k < j < i \leq \omega$ ,

the diagram

$$\begin{array}{ccc} (\Gamma_i, b_i) & \xrightarrow{p_{ij}} & (\Gamma_j, b_j) \\ p_{ik} \downarrow & & \downarrow p_{jk} \\ (\Gamma_k, b_k) & & \end{array}$$

commutes; i.e.,  $p_{ik} = p_{jk} \circ p_{ij}$ .

For  $0 \leq i \leq \omega$ ,  $\Gamma_i$  is a graph. Suppose  $\Gamma_0$  has a triangulation in which  $b_0$  is a vertex. Then for  $0 < i \leq \omega$ ,  $p_{i0}$  induces a unique triangulation on  $\Gamma_i$   $\ni p_{i0}: (\Gamma_i, b_i) \rightarrow (\Gamma_0, b_0)$  is a non-degenerate simplicial map. Consequently for  $0 \leq j < i \leq \omega$ ,  $p_{ij}: (\Gamma_i, b_i) \rightarrow (\Gamma_j, b_j)$  is a non-degenerate simplicial map.

Let  $T_{\omega}$  be a maximal tree in the triangulation of  $\Gamma_{\omega}$  containing  $b_{\omega}$ . We shall prove  $T_{\omega} = \Gamma_{\omega}$ , whence  $\pi_1(\Gamma_{\omega}, b_{\omega}) = \{1\}$ .

from which it follows that  $F_w = p_{w0} \times \pi_1(\Gamma_w, b_w) = \{1\}$ .

So suppose  $T_w \neq \Gamma_w$  and  $A$  is a 1-simplex of  $\Gamma_w$  which does not lie in  $T_w$ . Then  $A \cap T_w = \partial A = \{a_0, a_1\}$ . Let

$\alpha: (I, 0, 1) \rightarrow (A, a_0, a_1)$  be an arc and for  $i=0, 1$ , let

$\beta_i: (I, 0, 1) \rightarrow (T_w, b_w, a_i)$  be an arc. Let  $T = \beta_0(I) \cup \beta_1(I)$

and  $K = T \cup \alpha(I)$ . Then  $T$  is a finite tree in the triangulation

of  $T_w$ ; in fact,  $T$  is <sup>either</sup> a triod whose three endpoints are  $b_w, a_0$  and  $a_1$ ,  
or an arc whose endpoints are  $a_0$  and  $a_1$ .

$K$  is a compact subcomplex of  $\Gamma_w$  which contains a non-degenerate cycle, and which, therefore, can never lie in a tree.

By the Covering Space Lemma,  $\exists n \neq \infty$  and for  $i \geq n$ ,  $p_{wi}: K \rightarrow \Gamma_i$  is one-to-one. Let  $i \geq n$ . Then  $p_{wi}(T)$  is a finite tree in the triangulation of  $\Gamma_i$  and  $p_{wi}(K)$  is a compact subcomplex of  $\Gamma_i$  which can never lie in a tree. Extend  $p_{wi}(T)$  to a maximal tree  $T_i$  in the triangulation

of  $\Gamma_i$ . Then  $p_{wi}(A)$  is a simplex of the triangulation of  $\Gamma_i$  which cannot lie in  $T_i$ . So  $p_{wi}(A) \cap T_i = p_{wi}(\partial A) = p_i \{a_0, a_1\}$ .

It follows that  $[p_{wi}(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$  is a primitive element of  $\pi_1(\Gamma_i, b_i)$ . Since  $p_{w0} \times \pi_1(\Gamma_i, b_i) \rightarrow F_i$  was an isomorphism, then

$p_{w0} \times [p_{wi}(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$  is a primitive element of  $F_i$ . But

$p_{w0} \times [p_{wi}(\beta_0 \cdot \alpha \cdot \beta_1^{-1})] = [p_{w0}(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$ . So we have proved

that for  $i \geq n$ ,  $[p_{w0}(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$  is a primitive element of  $F_i$ .

This contradicts the hypothesis of the theorem. So  $T_w = \Gamma_w$ . ▀



Notice that in the proof we show that  $[\beta_0 \cdot \alpha \cdot \beta_1^{-1}]$  is a primitive element of  $F_i$  for all  $i \geq n$ . So apparently we can weaken the hypothesis of the Primitive Element Theorem as follows: If  $F_0 \supset F_1 \supset F_2 \supset \dots$  is a decreasing sequence of free groups  $\exists$  no element of  $F_{i-1}$  which is primitive in  $F_{i-1}$  is also primitive in  $F_i$  for  $i=1, 2, 3, \dots$ , then  $\bigcap_{i=0}^{\infty} F_i = \{1\}$ . In actuality, the two hypotheses are equivalent, as is shown by the following.

Proposition: If  $F_0 \supset F_1$  are free groups,  $x$  is a primitive element of  $F_0$  and  $x \in F_1$ , then  $x$  is a primitive element of  $F_1$ .

Proof:  $\exists$  a graph  $\Gamma_0$ , a vertex  $b_0 \in \Gamma_0$ , a maximal tree  $T_0$  in ~~the~~ triangulation of  $\Gamma_0$  <sup>containing  $b_0$</sup> , a 1-simplex  $A$  of the triangulation of  $\Gamma_0$  which is not contained in  $T_0$  so that  $A \cap T_0 = \partial A = \{a_0, a_1\}$ , and arcs  $\alpha: (I, 0, 1) \rightarrow (A, a_0, a_1)$  and  $\beta_i: (I, 0, 1) \rightarrow (T_0, b_0, a_i)$  for  $i=0, 1 \ni \pi_1(\Gamma_0, b_0) = F_0$  and  $[\beta_0 \cdot \alpha \cdot \beta_1^{-1}] = x$ . Furthermore,  $\exists$  a covering space  $p: (\Gamma_1, b_1) \rightarrow (\Gamma_0, b_0) \ni p_* \pi_1(\Gamma_1, b_1) = F_1$ . Then  $\Gamma_1$  is a graph, and the triangulation of  $\Gamma_0$  lifts to a unique triangulation of  $\Gamma_1 \ni p$  is a non-degenerate simplicial map.

Let  $T = \beta_0(I) \cup \beta_1(I)$ . Then  $T$  is a finite tree in the triangulation of  $T_0$ ; in fact  $T$  is a triod whose three endpoints are  $b_0, a_0$  and  $a_1$ .  $T \cup A$  is a finite subcomplex of  $T_0$  which contains a non-degenerate cycle, and which, therefore, can never lie in a tree. Clearly  $\pi_1(T \cup A, b_0)$  is an infinite cyclic group generated by  $[\beta_0 \cdot \alpha \cdot \beta_1^{-1}]$ . Let  $i: T \cup A \subset T_0$ . Then  $i_* \pi_1(T \cup A, b_0) = \langle x \rangle$ , the subgroup of  $F_0$  generated by  $x$ . Since  $\langle x \rangle \subset F_1$ , then  $\exists$  a lift  $j: (T \cup A, b_0) \rightarrow (T_1, b_1)$  of  $i: T \cup A \subset T_0$ ; so  $p_* j_* = i_*$  and  $j$  is a simplicial embedding.

Then  $j(T)$  is a finite tree in the triangulation of  $T_1$  and  $j(T \cup A)$  is a finite subcomplex of  $T_1$ , which can never lie in a tree. Extend  $j(T)$  to a maximal tree  $T_1$  in the triangulation of  $T_1$ . Then  $j(A)$  is a simplex of the triangulation of  $T_1$  which cannot lie in  $T_1$ . So  $j(A) \cap T_1 = j(\partial A) = j\{a_0, a_1\}$ . It follows that  $[j(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$  is a primitive element of  $\pi_1(T_1, b_1)$ . Since  $p_*: \pi_1(T_1, b_1) \rightarrow F_1$  is an isomorphism, then  $p_* [j(\beta_0 \cdot \alpha \cdot \beta_1^{-1})]$  is a primitive element of  $F_1$ . But  $p_* [j(\beta_0 \cdot \alpha \cdot \beta_1^{-1})] = [\beta_0 \cdot \alpha \cdot \beta_1^{-1}] = x$ . So  $x$  is a primitive element of  $F_1$ .  $\square$

We now make an application of the Primitive Element Theorem. Let  $\mathcal{G}$  be the category of groups and homomorphisms. We call a covariant functor  $\tau: \mathcal{G} \rightarrow \mathcal{G}$  an algebraic functor if

- (a) for every group  $G$ ,  $\tau(G)$  is a subgroup of  $G$ , and
- (b) for every homomorphism  $\varphi: G \rightarrow H$  of groups  $G$  and  $H$ ,  $\varphi \tau(G) \subset \tau(H)$  and  $\tau(\varphi) = \varphi|_{\tau(G)}$ .

If, in addition,  $\tau$  satisfies

- (c)  $\tau(\mathbb{Z})$  is a proper subgroup of  $\mathbb{Z}$ ,
- then we call  $\tau$  a proper algebraic functor.

Proposition: If  $\tau: \mathcal{G} \rightarrow \mathcal{G}$  is an algebraic functor, then for every group  $G$ ,  $\tau(G)$  is a normal subgroup of  $G$ .

Proof: For  $y \in G$ , let  $\varphi_y: G \rightarrow G$  be the automorphism of  $G$  obtained by conjugating by  $y$ ; i.e.,  $\varphi_y(x) = yxy^{-1}$ . Since  $\varphi_y \tau(G) \subset \tau(G)$ , then  $y \tau(G) y^{-1} \subset \tau(G)$ , for  $y \in G$ .  $\square$

If  $\tau: \mathcal{G} \rightarrow \mathcal{G}$  is a functor, then for  $i = 1, 2, 3, \dots$  define the functors  $\tau^i: \mathcal{G} \rightarrow \mathcal{G}$  by  $\tau^1 = \tau$  and  $\tau^{i+1} = \tau \circ \tau^i$  for  $i = 1, 2, 3, \dots$ . It is easy to see that if  $\tau$  is a (proper) algebraic functor, then so is  $\tau^i$  for  $i = 1, 2, 3, \dots$ .

If  $\tau: \mathcal{G} \rightarrow \mathcal{H}$  is a (proper) algebraic functor, define a functor  $\tau^\omega: \mathcal{G} \rightarrow \mathcal{H}$  as follows:

- (a) for every group  $G$ ,  $\tau^\omega(G) = \bigcap_{i=1}^{\infty} \tau^i(G)$ , and
  - (b) for every homomorphism  $\varphi: G \rightarrow H$  of groups  $G$  and  $H$ , since  $\varphi \tau^i(G) \subset \tau^i(H)$  for  $i = 1, 2, 3, \dots$ , then  $\varphi \tau^\omega(G) \subset \tau^\omega(H)$ , and we can define  $\tau^\omega(\varphi): \tau^\omega(G) \rightarrow \tau^\omega(H)$  by  $\tau^\omega(\varphi) = \varphi|_{\tau^\omega(G)}$ .
- Clearly  $\tau^\omega: \mathcal{G} \rightarrow \mathcal{H}$  is a (proper) algebraic functor.

A general class of examples of algebraic functors is described as follows. Let  $\mathcal{W}$  be a set of words in the variables  $x_1, x_2, x_3, \dots$ ; i.e., an element of  $\mathcal{W}$  is an expression  $w = w(x_1, x_2, x_3, \dots)$  of the form " $x_{i_1}^{n_1} x_{i_2}^{n_2} \dots x_{i_k}^{n_k}$ " where  $k, i_1, i_2, \dots, i_k \in \{1, 2, 3, \dots\}$  and  $n_1, n_2, \dots, n_k \in \mathbb{Z}$ . For a group  $G$ , define  $\tau(G)$  to be the subgroup of  $G$  generated by the subset  $\{w(x_1, x_2, x_3, \dots) : w \in \mathcal{W} \text{ and } x_1, x_2, x_3, \dots \in G\}$ . For a homomorphism  $\varphi: G \rightarrow H$ , if  $w \in \mathcal{W}$  and  $x_1, x_2, x_3, \dots \in G$ , then  $\varphi(w(x_1, x_2, x_3, \dots)) = w(\varphi(x_1), \varphi(x_2), \varphi(x_3), \dots)$ ; so  $\varphi \tau(G) \subset \tau(H)$ , and we can define  $\tau(\varphi) = \varphi|_{\tau(G)}$ . Thus  $\tau$  is an algebraic functor. Obviously  $\tau$  is proper if and only if  $1 \notin \tau(\mathbb{Z})$ .

We now present a familiar instance of this class of algebraic functors. For a group  $G$ , let  $\tau_*(G)$  be the commutator subgroup of  $G$ ; i.e., the subgroup of  $G$  generated

by the subset  $\{xyx^{-1}y^{-1} : x, y \in G\}$ . Then  $\tau_*$  is a proper algebraic functor of the type just described. The proper algebraic functor  $(\tau_*)^\omega$  is usually denoted simply by  $\omega$ ; and for a group  $G$ ,  $\omega(G)$  is called the omegaator subgroup of  $G$ .

Another instance of this class of algebraic functors is obtained as follows. Let  $p$  be a positive integer  $> 1$ . For a group  $G$ , let  $\tau_p(G)$  be the subgroup of  $G$  generated by the subset  $\{x^p : x \in G\}$ . Then  $\tau_p$  is a proper algebraic functor of the type described above. Let us denote the proper algebraic functor  $(\tau_p)^\omega$  simply by  $\omega_p$ . For a group  $G$ , if  $x, y \in G$ , then  $xyx^{-1}y^{-1} = (xy)^2 (y^{-1}x^{-1}y)^2 (y^{-1})^2$ ; so we see that  $\tau_*(G) \subset \tau_2(G)$  and, hence, that  $\omega(G) \subset \omega_2(G)$ . For this reason  $\tau_2(G)$  is called the mod 2 commutator subgroup of  $G$  and  $\omega_2(G)$  is called the mod 2 omegaator subgroup of  $G$ .

Lemma: If  $\tau: \mathfrak{A} \rightarrow \mathfrak{A}$  is a proper algebraic functor and  $F$  is a free group, then no primitive element of  $F$  belongs to  $\tau(F)$ .

Proof: Suppose  $x$  is a primitive element of  $F$  and  $B$  is a basis for  $F$  containing  $x$ . Define the function  $\beta: B \rightarrow \mathbb{Z}$  by  $\beta(b) = 1$  for all  $b \in B$ . Then there is a

homomorphism  $\varphi: F \rightarrow \mathbb{Z} \ni \varphi|_B = \beta$ ; so  $\varphi(x) = 1$ .

Since  $\tau$  is a proper algebraic functor,  $\tau(\mathbb{Z})$  is a proper subgroup of  $\mathbb{Z}$ , so that  $1 \notin \tau(\mathbb{Z})$ . Thus  $\varphi\tau(F) \subset \tau(\mathbb{Z})$  but  $\varphi(x) \notin \tau(\mathbb{Z})$ , proving  $x \notin \tau(F)$ .  $\blacksquare$

Corollary: If  $\tau: \mathcal{G} \rightarrow \mathcal{G}$  is a proper algebraic functor and  $F$  is a free group, then  $\tau^\omega(F) = \{1\}$ .

Proof: Define a decreasing sequence  $F_0 \supset F_1 \supset F_2 \supset \dots$  of free subgroups of  $F$  by  $F_0 = F$  and  $F_i = \tau(F_{i-1}) = \tau^i(F)$  for  $i=1, 2, 3, \dots$ . The preceding lemma implies that no primitive element of  $F_{i-1}$  belongs to  $F_i$  for  $i=1, 2, 3, \dots$ . Now the Primitive Element Theorem implies that  $\tau^\omega(F) = \bigcap_{i=0}^{\infty} F_i = \{1\}$ .  $\blacksquare$

Corollary: If  $F$  is a free group, then  $\omega(F) = \{1\}$  and  $\omega_2(F) = \{1\}$ .